FORM D

UNITED STATES SECURITIES AND EXCHANGE COMM Washington, D.C. 20549



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NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D, SECTION 4(6), AND/OR UNIFORM LIMITED OFFERING EXEMPTION

SEC USE ONLY Prefix Serial DATE RECEIVED

Name of Offering (check if this is an	amendment and name has o	hanged, and indicate cl	nange.)	7		
Series B-2 Preferred Stock			•			
Filing Under (Check box(es) that apply):	☐ Rule 50	14 🔲 Rule 50	5 🗷 Rule	506	☐ Section 4(6)	☐ ULOE
Type of Filing:		New Filing			Amendment	
	A. 1	BASIC IDENTIFICA	TION DATA			
1. Enter the information requested abo	ut the issuer				14	
Name of Issuer (check if this is an am	endment and name has cha	nged, and indicate char	ge.)		O	SUCESSED
Favrille, Inc.		و د د	14 <mark>3</mark> 4			
Address of Executive Offices	(Number ar	nd Street, City, State, Z	p Code) Telepho	ne Number (It	ncluding Area Code)	1111 3 1 2003
10421 Pacific Center Court, Suite 150,	San Diego, CA 92121		(858) 45	0-5945		JOC 3.
Address of Principal Business Operations (if different from Executive Offices)	(Number and Street, City,	State, Zip Code)	Telepho	ne Number (Ir	ncluding Area Code)	THOMSON FINANCIAL
Brief Description of Business Develop customized therapies for canc	er and other autoimmune	diseases	·.		1 (1) (1) (1) (1) (1) (1) (1) (1) (1) (1	na na na mai ing t
Type of Business Organization	* .					
区 corporation	🗖 limited partnership, a	lready formed			other (please specify): ·
☐ business trust	limited partnership, to	be formed				
Actual or Estimated Date of Incorporation	or Organization:	Month 1	<u>Year</u> 2000			
Jurisdiction of Incorporation or Organizat		S. Postal Service abbre			ctual E na el licologica e D D	Estimated E

GENERAL INSTRUCTIONS

Federal:

Who Must File: All issuers making an offening of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When to File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

> Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.



SEC 1972 (2-97) 1 of 10)

A. BASIC IDENTIFICATION DATA Enter the information requested for the following: Each promoter of the issuer, if the issuer has been organized within the past five years; Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer: Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and Each general and managing partner of partnership issuers. Check ☐ Promoter Beneficial Owner ☐ Executive Officer Director ☐ General and/or Box(es) that Managing Partner Apply: Full Name (Last name first, if individual) Shopes, Robert J. Business or Residence Address (Number and Street, City, State, Zip Code) c/o Favrille, Inc., 10421 Pacific Center Court, Suite 150, San Diego, CA 92121 Check ☐ Promoter ■ Beneficial Owner Executive Officer Director ☐ General and/or Box(es) that Managing Partner Apply: Full Name (Last name first, if individual) Gold, Daniel P. Business or Residence Address (Number and Street, City, State, Zip Code) c/o Favrille, Inc., 10421 Pacific Center Court, Suite 150, San Diego, CA 92121 Check Boxes ☐ Promoter Beneficial Owner Executive Officer ☑ Director ☐ General and/or that Apply: Managing Partner Full Name (Last name first, if individual) Longenecker, John P. Business or Residence Address (Number and Street, City, State, Zip Code): Since to c/o Favrille, Inc., 10421 Pacific Center Court, Suite 150, San Diego, CA 92121 Check Boxes Promoter Beneficial Owner ☐ Director General and/or Executive Officer that Apply: 📝 Managing Partner Full Name (Last name first, if individual) 2911. Funds associated with Forward Ventures Business or Residence Address (Number and Street, City, State, Zip Code) ٠, ١, ١, 9393 Towne Centre Drive, Suite 200, San Diego, CA 92121 Check Boxes Promoter ☐ Director Beneficial Owner ☐ Executive Officer ☐ General and/or that Apply: Managing Partner Full Name (Last name first, if individual) Funds associated with Alloy Ventures Business or Residence Address (Number and Street, City, State, Zip Code) doubt di 480 Cowpers Street, 2nd Floor, Palo Alto, CA 94301 and the residence of the second sec \S_i Chenester - start a math Check Boxes ☐ Promoter Beneficial Owner ☐ Executive Officer ☐ Director ☐ General and/or that Apply: Managing Partner Full Name (Last name first, if individual) Funds associated with Sanderling Business or Residence Address (Number and Street, City, State, Zip Code) 400 South El Camino Real, Suite 1200, San Mateo, CA 94402 Check Boxes ☐ Director ☐ Promoter ■ Beneficial Owner ☐ Executive Officer General and/or that Apply: Managing Partner Full Name (Last name first, if individual) Funds associated with De Novo Ventures Business or Residence Address (Number and Street, City, State, Zip Code)

Executive Officer

Director

☐ General and/or

Managing Partner

Check

Apply:

Box(es) that

Seymour, Tamara

1550 El Camino Real, Suite 150, Menlo Park, CA 94025

c/o Favrille, Inc., 10421 Pacific Center Court, Suite 150, San Diego, CA 92121

☐ Promoter

Full Name (Last name first, if individual)

☐ Beneficial Owner

A. BASIC IDENTIFICATION DATA Enter the information requested for the following: Each promoter of the issuer, if the issuer has been organized within the past five years; Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer; Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and Each general and managing partner of partnership issuers. Check ☐ Promoter ☐ Beneficial Owner Executive Officer Director ☐ Genera! and/or Box(es) that Managing Partner Apply: Full Name (Last name first, if individual) Bender, John Business or Residence Address (Number and Street, City, State, Zip Code) c/o Favrille, Inc., 10421 Pacific Center Court, Suite 150, San Diego, CA 92121 Check ☐ Promoter Executive Officer ☐ Beneficial Owner Director ☐ General and/or Box(es) that Managing Partner Apply: Full Name (Last name first, if individual) Gutheil, John Business or Residence Address (Number and Street, City, State, Zip Code) c/o Fayrille, Inc., 10421 Pacific Center Court, Suite 150, San Diego, CA 92121 Check Boxes ☐ Promoter ☐ Beneficial Owner Executive Officer ☐ Director ☐ General and/or that Apply: Managing Partner Full Name (Last name first, if individual) Murawski, Rich Business or Residence Address (Number and Street, City, State, Zip Code) right of the 3. c/o Favrille, Inc., 10421 Pacific Center Court, Suite 150, San Diego, CA 92121 Check Boxes Executive Officer Promoter ~ ☐Beneficial Owner ☐ Director -∵ 🖾 General and/or that Apply: Managing Partner Full Name (Last name first, if individual) 545.32 Wei, Alice. Business or Residence Address (Number and Street, City, State, Zip Code) 1. 1 5.30 A c/o Favrille, Inc., 10421 Pacific Center Court, Suite 150, San Diego, CA 92121 Check Boxes Director Beneficial Owner ☐ Executive Officer ☐ General and/or ☐ Promoter that Apply: Managing Partner Full Name (Last name first, if individual) Garner, Cam Business or Residence Address (Number and Street, City, State, Zip Code) c/o Favrille, Inc., 10421 Pacific Center Court, Suite 150, San Diego, CA 92121 1984 (1 2" Parille Ceptur Con Check Boxes ☐ Promoter ☐ Executive Officer ■ Director Beneficial Owner General and/or that Apply: Managing Partner Full Name (Last name first, if individual) Royston, Ivor Business or Residence Address (Number and Street, City, State, Zip Code) c/o Favrille, Inc., 10421 Pacific Center Court, Suite 150, San Diego, CA 92121 Check Boxes ☐Beneficial Owner □ Director ☐ General and/or ☐ Promoter ☐ Executive Officer that Apply: Managing Partner Full Name (Last name first, if individual) Kelly, Doug Business or Residence Address (Number and Street, City, State, Zip Code) c/o Favrille, Inc., 10421 Pacific Center Court, Suite 150, San Diego, CA 92121 Check ☐ Promoter Beneficial Owner ☐ Executive Officer ☑ Director ☐ General and/or Box(es) that Managing Partner

(Use blank sheet, or copy and use additional copies of this sheet, as necessary.)

Apply:

Full Name (Last name first, if individual)

c/o Favrille, Inc., 10421 Pacific Center Court, Suite 150, San Diego, CA 92121

Grillo-Lopez, Antonio

A. BASIC IDENTIFICATION DATA

- 2. Enter the information requested for the following:
 - Each promoter of the issuer, if the issuer has been organized within the past five years;
 - Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;
 - Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and
 - Each general and managing partner of partnership issuers.

Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	☑ Director	General and/or Managing Partner
Full Name (Last Roe, Wayne	name first, if individual)				
	idence Address (Number and c., 10421 Pacific Center Cou	Street, City, State, Zip Code) rt, Suite 150, San Diego, CA	92121		
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	□Executive Officer	⊠ Director	General and/or Managing Partner
Full Name (Last Middleton, Fre	name first, if individual) d A.				
	idence Address (Number and S	Street, City, State, Zip Code) rt, Suite 150, San Diego, CA	92121		
Check Boxes that Apply:	☐ Promoter	☐ Beneficial Owner	DExecutive Officer	☐ Director	General and/or Managing Partner
Full Name (Last	name first, if individual)				
Business or Res	dence Address (Number and	Street, City, State, Zip Code)	The second secon	Busin Strong	2
Check Boxes that Apply:	Promoter '	Beneficial Owner	Executive Officer	☐ Director	General and/or Managing Partner
Fuli Name (Last	name first, if individual)		Carlo de la Carlo	€3.5 ±1	
Business or Res	dence Address (Number and	Street, City, State, Zip Code)		• • • •	
Check Boxes that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	□Director	General and/or Managing Partner
Full Name (Last	name first, if individual)				
Business or Res	dence Address (Number and S	Street, City, State, Zip Code)	TO MARKET LIGHT LONG LAND - 4	· Porticus · · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·
Check Boxes that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director	General and/or Managing Partner
Full Name (Last	name first, if individual)	,			
Business or Res	idence Address (Number and S	Street, City, State, Zip Code)			
Check Boxes that Apply:	☐ Promoter	Beneficial Owner	☐ Executive Officer	☐ Director	General and/or Managing Partner
Full Name (Last	name first, if individual)				
Business or Resi	dence Address (Number and S	Street, City, State, Zip Code)			
Check Box(es) that Apply:	☐ Promoter	Beneficial Owner	Executive Officer	☐ Director	General and/or Managing Partner
	name first, if individual)				
Business or Resi	dence Address (Number and	Street, City, State, Zip Code)			

Paragraph

1.	Has the issuer sold	, or does the issu	uer intend to				-	?g under ULOE			Yes N	lo <u>X</u>
2.	What is the minim	um investment t	hat will be a	ccepted fro	om any indiv	idual?					\$ <u>N/A</u>	
3.	Does the offering p	ermit joint own	ership of a si	ingle unit?		•••••		•••••••••••••••••••••••••••••••••••••••			Yes N	o <u>X</u>
4.	Enter the informa solicitation of pur registered with the broker or dealer, yo	chasers in connu SEC and/or wit	ection with hastate or s	sales of se states, list t	curities in the	he offering. he broker or	If a person	to be listed i	s an associa	ted person or	agent of a	broker or dealer
Not	Applicable.											
Full	Name (Last name f	irst, if individual	1)									
Bus	iness or Residence A	Address (Numbe	r and Street,	City, State	e, Zip Code)							
Nan	ne of Associated Bro	ker or Dealer										
-								· *		**	-	
Stati	es in Which Person	Listed Has Solic	ited or Inten	ds to Solic	it Purchasers					and the state of t		
(Che	ck "All States" or c	heck individual	States)	***	••••••			•••••				All States
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[RI]	[SC]	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[YA] -	. [WV]	[W]]; _{ivj}	[WY]	[PR]
Full	Name (Last name fi	rst, if individual)						***	1 10 E W	-	· · · · · · · · · · · · · · · · · · ·
-	* ***		. ~			····	,		2 k	***	• •	
Busi	ness or Residence A	Address (Number	r and Street,	City, State	, Zip Code)			•				· &
Nan	ne of Associated Bro	ker or Dealer	······································	-							-	
State	es in Which Person	Listed Has Solic	ited or Inten	ds to Solic	it Purchasers			· · · · · · · · · · · · · · · · · · ·				- makey track
,(Che	ck "All States" or c	heck individual	States)	·					,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		าลดะบุลสม	All States
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[IL]	[IN]	[lA]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]
[MT] [NE]	[NV]	[NH]	[1/1]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]
[RI]	[SC]	[SD]	[TN]	[TX]	[T U]	[VT]	[VA]	[VA]	[WV]	[WI]	[WY]	(PR)
Fuli	Name (Last name fi	irst, if individual)			_						
Busi	ness or Residence A	Address (Number	and Street,	City, State	, Zip Code)	····			·			
Nam	ne of Associated Bro	ker or Dealer		·····			·····					
State	es in Which Person	Listed Has Solic	ited or Inten	ds to Solic	it Purchasers	 	·				 	
	ck "All States" or c						•••••					All States
[AL]		[AZ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	(FL)	[GA]	[HI]	[ID]
[IL]		[IA]	[KS]	(KY)	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]
[MT		[NV]	[NH]	[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]
[RI]		[SD]	[TN]	[XT]	(UT)	[VT]	[VA]	[VA]	[WV]	[WI]	[WY]	[PR]

B. INFORMATION ABOUT OFFERING

	Type of Security	Aggregate	Amount Already
	•	Offering Price	Sold
	Debt	\$	\$
	Equity	\$20,000,000.46	\$ <u>10,622,071.52</u>
	☐ Common ☐ Preferred		
	Convertible Securities (including warrants)	\$	\$
	Partnership Interests	\$	s
1584 1	Other (Specify)	`\$` <u></u>	\$
	Total	\$	\$
	Answer also in Appendix, Column 3, if filing under ULOE.		
offe the	ter the number of accredited and non-accredited investors who have purchased securities in this ering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate number of persons who have purchased securities and the aggregate dollar amount of their rechases on the total lines. Enter "0" if answer is "none" or "zero."		
	,	Number	Aggregate
		Investors	Dollar Amount
			of Purchases
	Accredited Investors	30	\$ <u>10,622,071.52</u>
	Non-accredited Investors		\$
	Total (for filings under Rule 504 only)		\$
	Answer also in Appendix, Column 4, if filing under ULOE.		•
solo	this filing is for an offering under Rule 504 or 505, enter the information requested for all securities d by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first e of securities in this offering. Classify securities by type listed in Part C - Question 1.	4: : • \$	
	A service of the serv	Type of	Dollar Amount
		Security	Sold
	Type of Offering	•	
	Rule 505		\$
	Regulation A		\$
	Rule 504		\$
	Total		s
sec infe	Furnish a statement of all expenses in connection with the issuance and distribution of the curities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The formation may be given as subject to future contingencies. If the amount of an expenditure is not own, furnish an estimate and check the box to the left of the estimate.	•••	
	Transfer Agent's Fees	ū.	<u> </u>
	Printing and Engraving Costs		\$
	Legal Fees	×	\$ 30,000.00
	Accounting Fees		\$
	Engineering Fees		\$
	Sales Commissions (specify finders' fees separately)	_	s
	Other Expenses (Identify)		s
	Outer Expenses (details)	_ [7]	\$ 20,000,00

*****	C. OFFERING PRICE, NUMBER OF	INVESTORS, EXPENSES AND U	ISE OF PROCEEDS	
	veen the aggregate offering price given in r question 4.a. This difference is the "adjuste			519,970,000.46
If the amount for any purpo	f the adjusted gross proceeds to the issuer to se is not known, furnish an estimate and the adjusted gross proceeds to the issuer set	check the box to the left of the est	imate. The total of the	
			Payment to Officers, Directors, & Affiliates	Payment To Others
Salaries and fees			□ s	□ s
Purchase of real estate			□ \$	□ s
Purchase, rental or leasing and ins	tallation of machinery and equipment		□ \$	□ \$
Construction or leasing of plant but	uildings and facilities		□ s	□ s
in exchange for the assets or secur	ncluding the value of securities involved in ities of another issuer pursuant to a merger	this offering that may be used	□ s	O s
		•	⊒ s	s
		•	□ s	≥ \$ <u>19,970,000.46</u>
			□ s	□ \$ _
			□ \$	□ s
Column Totals	44.		□ s	
Total Payments Listed (column tot	als added)		≥ \$ <u>19,970,0</u> 1	00.46
	1.000 1.000			·
1.87.17.4	_			
	D. FED	ERAL SIGNATURE		
	otice to be signed by the undersigned duly mish to the U.S. Securities and Exchange (o paragraph (b)(2) of Rule 502.			
Issuer (Print or Type)	-	Signature		Date
Favrille, Inc.	, , , , , , , , , , , , , , , , , , ,	and a fall	Ann	7-22-03
Name of Signer (Print or Type)		Title of Signer (Print or Type)	1	
Tamara Seymour	4. 4	Chief Financial Officer	V	

ATTENTION

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)

See Appendix, Column 5, for state response. 2. The undersigned issuer hereby undertakes to furnish to the state administrator of any state in which the notice is filed, a notice on Fo such times as required by state law. 3. The undersigned issuer hereby undertakes to furnish to any state administrators, upon written request, information furnished by the issue of the undersigned issuer represents that the issuer is familiar with the conditions that must be satisfied to be entitled to the Uniform 1 (ULOE) of the state in which this notice is filed and understands that the issuer claiming the availability of this exemption has the burd conditions have been satisfied. The issuer has read this notification and knows the contents to be true and has duly caused this notice to be signed on its behalf by the uperson. Issuer (Print or Type) Favrille, Inc.			E. STATE SIGNATURE					
 The undersigned issuer hereby undertakes to furnish to the state administrator of any state in which the notice is filed, a notice on Fo such times as required by state law. The undersigned issuer hereby undertakes to furnish to any state administrators, upon written request, information furnished by the issue The undersigned issuer represents that the issuer is familiar with the conditions that must be satisfied to be entitled to the Uniform I (ULOE) of the state in which this notice is filed and understands that the issuer claiming the availability of this exemption has the burd conditions have been satisfied. The issuer has read this notification and knows the contents to be true and has duly caused this notice to be signed on its behalf by the uperson. Issuer (Print or Type) Favrille, Inc. 		Is any party described in 17 CFR 230.252(c), (d), (e) or (f) present	ly subject to any of the disqualification provisions of such rule?	Yes	No 🔀			
such times as required by state law. 3. The undersigned issuer hereby undertakes to furnish to any state administrators, upon written request, information furnished by the issue 4. The undersigned issuer represents that the issuer is familiar with the conditions that must be satisfied to be entitled to the Uniform 1 (ULOE) of the state in which this notice is filed and understands that the issuer claiming the availability of this exemption has the burd conditions have been satisfied. The issuer has read this notification and knows the contents to be true and has duly caused this notice to be signed on its behalf by the uperson. Issuer (Print or Type) Signature Signature		See Appendix, Column 5, for state response.						
4. The undersigned issuer represents that the issuer is familiar with the conditions that must be satisfied to be entitled to the Uniform I (ULOE) of the state in which this notice is filed and understands that the issuer claiming the availability of this exemption has the burd conditions have been satisfied. The issuer has read this notification and knows the contents to be true and has duly caused this notice to be signed on its behalf by the uperson. Issuer (Print or Type) Favrille, Inc.			administrator of any state in which the notice is filed, a notice on Fo	orm D (17 CFR	239.500) a			
(ULOE) of the state in which this notice is filed and understands that the issuer claiming the availability of this exemption has the burd- conditions have been satisfied. The issuer has read this notification and knows the contents to be true and has duly caused this notice to be signed on its behalf by the u person. Issuer (Print or Type) Favrille, Inc.		The undersigned issuer hereby undertakes to furnish to any state ad	dministrators, upon written request, information furnished by the issue	er to offerees.				
person. Issuer (Print or Type) Favrille, Inc.		(ULOE) of the state in which this notice is filed and understands to		_				
Favrille, Inc.			e and has duly caused this notice to be signed on its behalf by the t	andersigned duly	authorize			
	su	er (Print or Type)	Signature	Date				
	av	rille, Inc.	Jana Dans	7-72	~0.3			
Name (Print or Type) Title (Print or Type)	lan	ne (Print or Type)		· · · · · · · · · · · · · · · · · · ·	·			
Tamara Seymour Chief Financial Officer		nara Seymour	Chief Financial Officer					

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Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

				APPENDIX		·			
1		2	3	4					5
	to non- investo (Part l	nd to sell accredited rs in State B-Item 1)	Type of security and aggregate offering price offered in state (Part C-Item 1)	Type of investor and amount purchased in State (Part C-Item 2)			Disqualification under State ULOE (if yes, attach explanation of waiver granted (Part E-Item 1)		
State	Yes	No		Number of Accredited Investors	Amount	Number of Non- Accredited Investors	Amount	Yes	No
AL									
AK									
AZ									
AR									
CA						.:			
CO									
CT									
DE									
DC	473 2			: 1 2 4 4 -	2000年1月		5.00 13		
FL	44A	N,					era i		
GA							_		
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IA .				•				•	
KS									
KY									
LA									
ME									
MD	:								
MA									
MI									
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MS									
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	APPENDIX								
1		2	3		4		•		5
	to non- investo (Part	nd to sell accredited ors in State B-Item 1)	Type of security and aggregate offering price offered in state (Part C-Item 1)	Type of investor and amount purchased in State (Part C-Item 2)			Disqualification under State ULOE (if yes, attach explanation of waiver granted (Part E- Item I)		
State	Yes	No		Number of Accredited Investors	Amount	Number of Non- Accredited Investors	Amount	Yes	No
MT								<u> </u>	
NE								l	
NV									
NH			 						
NJ									
NM									
NY									
NC								-	
ND				<u> </u>					
ОН	F 184 .							:	
ОК									
OR									
PA									
RI									
SC									
SD	. , .	the the supple has a second	a mila a		<u> </u>			, .	• 14
TN				,					
TX									
UT				· · · · · · · · · · · · · · · · · · ·					
VT					1				
VA									
WA		-							
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WI									
WY									
PR									
L	L	L	L	L	<u> </u>	L	l	L	L